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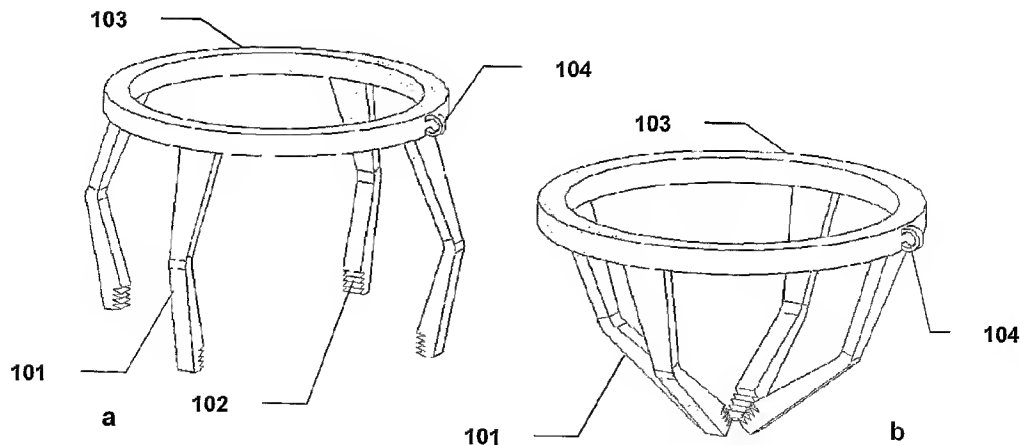
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(54) Title: VASCULAR CLOSURE METHODS AND APPARATUSES



(57) Abstract: A vascular closure device comprising a retrievable sheath-delivered contractible, clip device with structural radial or terminal members with terminal and non-terminal hooks that engage the vessel wall. Unlike other vascular closure clips, this device is delivered on the outside rather than the inside of sheath. Closure of the tissue opening can be effected by the feet of the clip engaging the puncture, aperture, or wound edges and memory characteristics of the device cause a contraction of the members, bringing the members into apposition and the wound edges together, permitting immediate vascular closure and healing of the blood vessel. The device can be delivered and recovered by an intravascular sheath.



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**Vascular Closure Methods and Apparatuses****Technical Field**

[0001] The present invention relates to methods and apparatuses for closing punctures and apertures in human and animal tissue and to methods and apparatuses for inserting such an apparatus into such tissue to perform such closure functions.

**Background Art**

[0002] This application is related to U.S. provisional application 60/711,279, filed 8/25/2005, and U.S. utility application 11/316,775, filed 12/23/2005, each of which is incorporated herein by reference. During angiography and related procedures, catheters are inserted through an incision or puncture in the skin and underlying tissues to access an artery or vein, typically in the groin, neck, or subclavian areas of a patient. The catheter can be inserted through a puncture in the blood vessel and guided to the desired site to perform interventional procedures such as angiography, angioplasty, plaque removal, and infusion of a therapeutic substance. After the procedure is completed and the catheter is removed from the patient, the access hole must be closed to prevent massive hemorrhage. This is conventionally achieved by applying pressure over the blood vessel manually and then by applying a pressure bandage, compressive weight, or clamp device. With conventional methods, the rate of post-puncture hemorrhage is high, which causes considerable complications. This complication is exacerbated by the concomitant use of anticoagulant medications such as heparin or warfarin and by antiplatelet drugs, which are commonly used to treat vascular disease.

[0003] Sutures have been used to close access puncture wounds in blood vessels. US05613974 describes a device and method for applying sutures to a vascular puncture. US2004/0093027A1 describes barbed suture-like material that apposes the puncture site. US 2005/0121042 A1 describes a device and method for applying suture to a vascular puncture. Difficulties with these methods include the large number of steps necessary to deploy the needles, capture the suture, withdraw the suture, tie the knot, and cut the suture. In addition, the hole in the blood vessel is often widened by insertion of the instrument, and the suture remains intravascularly on the endothelial surface, and thus can be a nidus for thrombus or intravascular mural hyperplasia with later spontaneous and catastrophic closure of the vessel.

[0004] Extravascular plugs have also been proposed for closure of vascular punctures. US05254105 and US05330445 describe an extravascular plug which is slid down the external surface of the catheter or introducer and is placed into the puncture site in this manner. US05643318 relates to a similar device that has its own vessel locator device. US22022822A1 and US2004/0158287A1 describe an extravascular plug that is delivered with a specialized system. US24215232A1 describes an extravascular plug with an intravascular anchor set with a sheath with a detection port. US2005/0085855A1 describes an extravascular collagen plug, held in place with an intravascular anchor, and a device that locks over a piece of suture. US05906631 describes a plug made of hydrophilic material. US06126675 describes an intravascular anchor and a bioabsorbable extravascular plug. US06623509 describes a bioabsorbable plug. US06296657 and US06743195 describe an inflatable balloon that puts pressure on the puncture site. US06569185 describes an injectable vascular plug. US06663655 describes a plug that screws in the puncture tract. US2004/0143290 A1 describes a

combination of an intraluminal balloon and injectable sealant. Disadvantages to these methods are related to the high likelihood of thrombosis associated with the intravascular plug or anchor, and the presence of collagen or other bioabsorbable materials which cause inflammation, activate the clotting cascade, and increase the likelihood of thrombosis, which, in an arterial system, is catastrophic.

[0005] Vascular patches have also been used for repairing blood vessels, but usually only for large areas of damage. US05100422 describes a vascular patch that is sutured to the external surface of the damaged blood vessel. US05100422 describes a vascular patch achieved by instilled adhesives and the device for doing such. These are generally impractical for catheter-based methods. US06248124 and US05507744 describe devices and methods that use electrocautery for sealing vascular punctures. This also requires a complicated device, and perforation and thrombosis are very real possibilities.

[0006] Vascular clips or staples delivered through a catheter device have also been proposed. These devices have penetrating members that bring the edges of the tissue together. US06695867 describes a clip or staple that is delivered by a specialized device. US06749622 describes a number of different clips with sharpened barbs or ends that include both intra- and extravascular portions, made of metal with memory characteristics. US05861005 describes an arterial staple that is delivered with a specialized device. US05919207 describes a stapling system based on long hooked wires that appose the surfaces, with a small staple gun to close the lesion. US06022372 describes a similar staple gun. US06296657, US06663655, and US06749621 describe a clip that is external to the vessel, but clips the two sides of the puncture together, and a device for achieving such. US 5782861 and US 5964782 describe clip devices composed of two or more prongs or hooks that, depending on the direction of the prongs, can clip together the puncture site from the intra- or extravascular position, through the use of a collar which forces the prongs together or other mechanisms. These clip devices are composed of thick semi-rigid material, and can be placed only with a specialized instruments, and because of the rigidity have great potential to injure or cut the blood vessel. Disadvantages of these clip devices in general include difficulty in retrieving the device if misplaced, excessive manipulation required, the thickness of the clip material which tends to cut or shear the blood vessel, the large forces that must be used to curve the staples and fix the clips, the increased possibility of tearing the blood vessel, and the general lack of control of the forces being applied to the blood vessel.

[0007] Accordingly, there is a need for methods and apparatuses that are suitable for closure of vascular punctures or other openings, and that do not suffer from the drawbacks of conventional approaches.

#### **Disclosure of Invention**

[0008] The present invention provides methods and apparatuses that are suitable for closure of vascular punctures or other openings, and that do not suffer from the drawbacks of conventional approaches.

[0009] An apparatus according to the present invention can comprise a base member, defining an opening of sufficient cross-section to allow passage of a sheath through the opening. This allows the apparatus to be conveyed to the tissue opening using a conventional sheath. A plurality of active members mount with the base member, where each active member mounts with the base member and is capable of first and second configurations. In the first configuration, the active members are spaced apart such that they can be delivered using a conventional sheath, and such that the spacing is sufficient to span a portion of the tissue opening to be closed. In the second configuration, the active members move

toward each other (relative to the first configuration), urging tissue placed between the active members into apposition. In operation, the apparatus can be delivered using a conventional sheath, with the active members in the first configuration. At the site of the tissue opening, the active members can engage tissue around the opening, and then be placed in the second configuration; for example by moving the active members relative to the sheath such that the sheath no longer props the active members apart. The tissue engaged by the active members is urged into apposition by the motion of the active members assuming the second configuration, closing the tissue opening.

[0010] Apparatuses according to the present invention can provide a catheter-delivered clip comprising fine, strong, but flexible material that after delivery contracts on the wound edges of a blood vessel so that individual members of the clip extend beyond the catheter edges and/or puncture dimensions. As the catheter is withdrawn, the edges of the tissue opening (e.g., a puncture wound) go from inversion (facing intravascularly) to eversion (facing extravascularly) preparing the wound edges for delivery of the clip. The clip delivery sheath can be advanced over the catheter and guidewire until the site of puncture of the blood vessel is reached. The delivery sheath can be pushed against the exterior of the blood vessel so that the everted edges of the wound are just interior to the delivery sheath. Using an exterior pushing sheath which goes around the delivery sheath, the clip can be pushed off the delivery sheath. The feet of clip can have textured, hooked grasping devices on the ends of the members, which seize the exterior of the vessel wall and urge the edges together.

[0011] The use of a plurality of fine and flexible nature the members can close tissue opening with low shear force applied to the blood vessel. As the clip is pushed off the delivery sheath the feet contract together and bring the blood vessel puncture wound edges together. If there is no blood leakage through the closure and the device is properly positioned and stable, then first the catheter and then the guidewire can be removed and the retaining sutures or strings loop cut, resulting in complete and rapid closure, which can then heal.

[0012] Since the present invention brings the puncture edges together, there can be true blood vessel healing with little endothelial disruption, reducing the chances of thrombosis or intimal hyperplasia. The device can be supplied in different diameters (french) to accommodate different sizes of catheters and different sizes of puncture holes. The present invention can provide apparatuses that are both contractible and retrievable (e.g., because of misplacement). In addition, although the example embodiments described herein generally have linear members, these members need not be strictly linear, but can assume a number of complex geometrical shapes and structural patterns without departing from the scope of the present invention.

[0013] Devices according to the present invention can utilize a contractible material, for example with memory characteristics, that allow the members of the device to close spontaneously on a puncture wound of a blood vessel. Members of such devices can have textured gripping surfaces, tissue hooks, or penetrators, to seize the vessel wall and stabilize the device. Such devices can use the spontaneous closing characteristic to seize the edges of the puncture site, and close them, resulting in a complete vascular closure. Such devices can be kept in an expanded state (high energy state of a memory material) by a delivery sheath and assume its functional, closing form (low energy state of a memory material) when pushed off a delivery sheath.

[0014] The present invention also comprises methods for closing tissue openings, comprising everting the edges of a tissue opening, then placing a device according to the present invention near the everted edges. The device can then be pushed off its delivery sheath, allowing the active members of the device to engage the tissue and bring the edges of the opening into apposition.

#### **Brief Description of Drawings**

[0015] The invention is explained by using embodiment examples and corresponding drawings, which are incorporated into and form part of the specification.

Figure 1(a,b) is a schematic illustration of an external vascular closure clip according to the present invention.

Figure 2(a,b) is a schematic illustration of an external vascular closure clip according to the present invention.

Figure 3(a,b) is a schematic illustration of an external vascular closure clip according to the present invention.

Figure 4(a,b,c) is a schematic illustration of an external vascular closure clip according to the present invention.

Figure 5(a,b) is a schematic illustration of an external vascular closure clip according to the present invention.

Figure 6(a,b) is a schematic illustration of a gripper and sheath according to the present invention.

Figure 7(a,b,c) is a schematic illustration of eversion of the edges of a tissue opening using a device according to the present invention.

Figure 8(a,b,c,d,e,f,g) is a schematic illustration of steps in a method of closing a tissue opening according to the present invention.

Figure 9(a,b,c,d,e,f) is a schematic illustration of steps in a method of closing a tissue opening according to the present invention.

#### **Modes for Carrying Out the Invention, and Industrial Applicability**

[0016] The present invention provides apparatuses and methods for closing a vascular puncture wound or any tissue aperture, for example those resulting from the insertion of a vascular catheter or surgical instrument, trauma or disease. The present invention embraces both apparatuses and methods for closing tissue openings such as vascular punctures. Devices according to the present invention can be open on a delivery sheath and when pushed off the sheath assume a closed position. This behavior can be provided by forming at least a portion of the device of a memory metal or material. The stress free state corresponds to the state at which the apparatus has closed upon the everted edges of a puncture wound of a blood vessel, and the stressed state is when the device is open and seated on the delivery sheath. Example embodiments of tissue closure apposition devices according to the present invention are shown in Figures 1, 2, 3, and 4. The descriptions may refer to "vessels" for convenience; the present invention is applicable to facilitate closure of various types of tissue openings.

[0017] Figure 1(a,b) is a schematic illustration of an external vascular closure clip with multiple members. Figure 1a is a lateral view of a vascular closure clip in the open state (high energy state); Figure 1b is a lateral view of the same device in closed state (low energy state). The device comprises a base member 103, shaped to allow passage of a delivery sheath (not shown) through the base

member 103. A plurality of active members 101 mount with the base member 103. A portion 102 of each active member 101 has a tissue engagement feature; in the figure shown as serrations formed in the end of the member 101.

[0018] In Figure 1a, the active members 101 are spaced apart, allowing passage of a delivery sheath (not shown) between the active members 101. As an example, if the device is placed on a delivery sheath, the delivery sheath can hold the active members 101 apart. In Figure 1b, the active members 101 have moved toward each other, urging tissue engaged by the tissue engagement features into apposition. As an example, if the device is pushed past the edge of a delivery sheath, the delivery sheath will no longer hold the tissue engagement features 102 of the active members 101 apart, and the resiliency or memory characteristics of the active members 101 can urge the tissue engagement features 2 towards each other. A hook, open or closed loop 104 for string or suture or for retrieval can mount with the device, for example with the base member 103 as shown in the figure.

[0019] The figure presents for illustration purposes 4 active members; the device can comprise as few as two active members, and as many as are practical within applicable design considerations. The tissue engagement features, shown as serrated portions of the active members in the figure, can comprise textured portions or attachments, mating portions with apposing feet, penetrating devices, hooks, teeth, or other adaptations to allow firm grip of the tissue. The base member is shown in the figure as a complete circular or cylindrical band; the base member can also be an incomplete band to accommodate better grip of a delivery sheath, and can comprise memory materials to increase the grip on the delivery sheath, and to assume a lower profile when delivered.

[0020] Figure 2(a,b) is a schematic illustration of an external vascular closure clip with two members. Figure 2a is a lateral view of a vascular closure clip with two members in the open state (high energy state). Figure 2b is a lateral view of the clip in the closed state (low energy state). The device comprises a base member 203, shaped to allow passage of a delivery sheath (not shown) through the base member 203. A plurality of active members 201 mount with the base member 203. The active members 201 mount with tissue engagement members 205. The figure shows two active members 201 per tissue engagement member 205; any number of active members 201 can mount with each tissue engagement member 205. The tissue engagement members 205 comprise a tissue engagement feature 202, shown in the figure as a serrated portion of a side of the tissue engagement member 205 facing other tissue engagement members 205. A portion 202 of each active member 201 has a tissue engagement feature; in the figure shown as serrations formed in the end of the member 201.

[0021] In Figure 2a, the active members 201 are spaced apart, allowing passage of a delivery sheath (not shown) between the active members 201. As an example, if the device is placed on a delivery sheath, the delivery sheath can hold the tissue engagement members 205 apart. In Figure 2b, the active members 201 have flexed, moving the tissue engagement members 205 toward each other, urging tissue engaged by the tissue engagement features 202 into apposition. As an example, if the device is pushed past the edge of a delivery sheath, the delivery sheath will no longer hold the tissue engagement members 205 apart, and the resiliency or memory characteristics of the active members 201 can urge the tissue engagement members 205 towards each other. A hook, open or closed loop 204 for string or suture or for retrieval can mount with the device, for example with the base member 203 as shown in the

figure. The tissue engagement members 205 allow gripping of the tissue along a greater surface than the example of Figure 1(a,b). The tissue engagement members 205 can comprise a resilient or memory material, allowing them to conform to the shape of a delivery sheath while mounted with such a sheath, then to conform to the shape of another tissue engagement member 205 when gripping tissue (e.g., in Figure 2b the tissue engagement members 205 present substantially straight and mutually parallel surfaces to each other).

[0022] Figure 3(a,b) is a schematic illustration of an external vascular closure clip with penetrating grips. Figure 3a is a lateral view of a vascular closure clip with two members in the open state (high energy state). Figure 3b is a lateral view of the clip in the closed state (low energy state). The example of Figure 3 is similar to that of Figure 2. In Figure 3, however, the tissue engagement features 306,307 comprise penetrating members 307 mounted or formed with one tissue engagement member 305, and corresponding depressions or openings 306 on a facing tissue engagement member 305. A hook, open or closed loop 304 for string or suture or for retrieval can mount with the device, for example with the base member 303 as shown in the figure.

[0023] Figure 4(a,b,c) is a schematic illustration of an external vascular closure clip with a low profile. Figure 4a is a lateral view of a vascular closure clip in the open state (high energy state). Figure 4b is a lateral view of the clip in the closed state (low energy state). Figure 4c is a top view of the clip in the closed state (low energy state). The arrangement and operation of the clip in the figure is similar to that described in relation to Figure 1, except that the active members 401 (having tissue engagement features 402) are configured to have a lower profile when deployed. This can be useful when the height of the deployed clip relative to the tissue surface is of concern. The active members 401 can also be configured to be substantially planar with the base member 403 when deployed, and can also be inverted so that, when deployed, the base member 403 is closer to the tissue wall than are the active members 401. A loop 404 for removal can mount with the base member 404. The active members 401 can also comprise other shapes to achieve the desired low profile. As an example, the active members can comprise members extending from the base member toward the center of the base member roughly in the plane of the base member, analogous to an iris diaphragm. As another example, the active members can be arranged like spokes, with the base member as the rim, where the spokes define an inner cross-section that is held open before deployment, and that is reduced by action of the spokes when the device is deployed. As another example, the active members can comprise members of variable length, mounted with the base member and extending roughly radially inward from the base member. The active members can be constrained to a shortened length before deployment, and allowed to lengthen (reducing the area between the active members) when deployed.

[0024] Figure 5(a,b) is a schematic illustration of an external vascular closure clip with penetrating grips. Figure 5a is a lateral view of a vascular closure clip device in the open state (high energy state). Figure 5b is a lateral view of the clip in the closed state (low energy state). The structure and operation of the device in Figure 5 is similar to that described in relation to Figure 1, with a loop 504 which can facilitate removal of the device. In the device of Figure 5, however, then tissue engagement features 502 comprise portions of the active members 501 adapted to penetrate the tissue, in the figure sharpened ends of the active members. Penetration of the tissue by the ends of the active members 502 can

facilitate more certain anchoring of the clip when deployed. The active members can also comprise more complicated shapes and relationships among the active members, e.g., spirals, cross-overs, barbs, and complete or incomplete twists and turns, all which can be determined by the characteristics of the material and the desired tissue binding forces.

[0025] For simplicity of illustration, the previous embodiments were depicted with wire-like active members. The active members can comprise other shapes and materials. As an example, active members can be made with polymers, covered with polymers, and comprise drug-eluting material. The active members or base member, or both, can be covered with fabric or backing or other material, including bioabsorbable material, to further plug the puncture tract. The active members can comprise loops of wire, filaments, struts, beams, patterns, woven elements, continuous or non-continuous materials, or other geometric structures.

[0026] Any part of an apparatus according to the present invention can be made from any of a number of suitable materials, or combinations thereof. In some applications, it can be desirable for members to be of radioopaque materials or be coated to be made radioopaque. Members can be made from bioabsorbable polymers or compounds, non-absorbable alloys and compounds including stainless steel, MP35, Nitinol, Nickel-Titanium alloy, Kevlar, nylon polyester acrylic, gold, platinum, tantalum, niobium, molybdenum, rhodium, palladium silver, hafnium, tungsten, iridium. Materials with memory can be useful, where the memory property can provide force for activation of the active members from the open to the closed state. Members can be made in the form of wires, fibers, filaments, small beams, and other extruded, woven, or formed shapes. Examples of suitable materials include piano wire, super elastic memory wire, chromium alloys, alloys of titanium and nickel, and other elastic memory materials. A suitable fabric or coating can be made from a number of suitable materials; in some applications it can be desirable to use flexible polymeric materials with elastomeric properties including polyurethane, polyethylene, polyesterurethane, polyimide, polyethereimide, polycarbonate, polysiloxane, polyvinyls, hydroxyethylmethacrylate, related polymers, co-polymers of these or other polymers, or drug-embedded or drug-eluting polymers to prevent coagulation or intimal hyperplasia (such as Taxol), which can be made radioopaque by markers to addition of appropriate radioopaque materials.

[0027] Devices according to the present invention can be placed on everted tissue edges (i.e., the edges of the opening are turned towards the device). Tissue edge eversion can be accomplished with a gripper or everter device, or by pulling back a sheath. Figure 6(a,b) is a schematic illustration of a gripper and sheath, shown in section to illustrate gripper tines disposed within the sheath 603. Gripper tines 602 (two in the figure, although more or fewer can be used) are disposed within a sheath 603 in Figure 6a. The sheath 603 constrains the gripper tines 602 to fit within the walls of the sheath 603. In Figure 6b, the gripper tines 602 have moved past the end of the sheath 603. Absent the constraining influence of the sheath 603, the gripper tines 602 have curved outwards from the sheath and upwards along the direction of the sheath. The gripper tines can grip the edges of a tissue opening, and evert them when the gripper tines or the corresponding sheath is pulled away from the tissue.

[0028] Figure 7(a,b,c) is a schematic illustration of eversion of the edges of a tissue opening using a device such as that described in relation to Figure 6. Figure 7a shows the device with the gripper tines 702 constrained in a sheath 703. A guidewire 704 passes through the sheath 703. The sheath 703



is resident in the tissue opening, passing through the proximal vessel wall 706 but not reaching the distal vessel wall 705. Figure 7b shows the device after the gripper tines 702 have been extended past the end of the sheath 703. The gripper tines 702, have curved away from the sheath 703 and back along the direction of the sheath 703, penetrating the proximal vessel wall 706. Traction applied to the gripper tines 702 and sheath 703 everts the edges of the opening, as shown in Figure 7c. The edges are held by the gripper tines 702 so that the proximal vessel wall 706 is pulled when the gripper tines 702 and sheath 703 are pulled. The everted edges of the tissue opening are now ready for deployment of a clip like those described herein.

[0029] Figure 8(a,b,c,d,e,f,g) is a schematic illustration of steps in a method of closing a tissue opening according to the present invention. In Figure 8a, a gripper sheath 801, for example a gripper sheath like that described in relation to Figure 7, is present within an opening in tissue, near a proximal wall 806 and edges 805 of an opening therethrough, but not near a distal wall 807. The gripper sheath 801 constrain gripper tines 802 disposed within the gripper sheath 801. In Figure 8b, the gripper tines 802 have been extended past the end of the gripper sheath 801, curving back and engaging the edges 805 of the tissue opening. In Figure 8c, the gripper sheath 801 has been pulled away from the tissue. The edges 805 of the opening, held by the gripper tines 802, have been everted by the motion of the gripper sheath 801. The gripper tines can reside within sublumens within or on the sheath, or a single shared lumen in the sheath. The number of gripper tines can be 2 or greater, and they can be directed away from the lumen or cross over each other. They can penetrate the blood vessel wall, but need not fully penetrate the vessel, instead simply gripping the vessel wall so it can be everted. The tines can be extended by pushing or by a specialized instrument that provides suitable extension such as a gun-like or syringe-like plunger configuration.

[0030] In Figure 8d two additional sheaths are shown. A delivery sheath 803 has mounted with it a closure device 804 such as those described herein. A pushing sheath 808 is placed in relationship with the delivery sheath 803 such that the pushing sheath 808 can slide down the delivery sheath 803 and apply force to the device 804. In Figure 8e the pushing sheath 808 has been pushed down the delivery sheath 803 a sufficient distance to force the device 804 past the end of the delivery sheath 803. As described previously in relation to device embodiments, the device, when free of the constraining influence of the delivery sheath 803, assumes a closed state wherein the device 804 urges the edges 805 of the opening into apposition. In Figure 8f, the sheaths have been pulled away from the opening, leaving the device 804 engaged with the tissue and the edges of the opening held in apposition by the device 804. The tissue can be inspected at this stage to assess proper placement and operation of the device. If the opening has been appropriately closed, then the sheaths and guidewire can be removed, leaving the device 804 in place to hold the opening closed, as shown in Figure 8g.

[0031] Figure 9(a,b,c,d,e,f) is a schematic illustration of steps in a method of closing a tissue opening according to the present invention. In Figure 9a, an operative catheter or sheath 901 is present within an opening in tissue, in contact with edges 905 of the opening. A device 904 such as those described herein mounts with a delivery sheath 903. In Figure 9b, the operative catheter or sheath 901 has been pulled away from the tissue sufficient to evert the edges 905 of the opening. In Figure 9c, a pushing sheath 908 has been introduced outside the delivery sheath 903, configured to transmit force to the device 904.

[0032] In Figure 9d, the pushing sheath 908 has been used to push the device 904 past the end of the delivery sheath 903, but not yet past the end of the operative catheter or sheath 901. As described herein, the device can assume a closed state once free from the constraint of the delivery sheath 903. In the closed state, the device brings the edges 905 of the opening into apposition. In Figure 9d, the device can be less than fully closed, since the operative catheter or sheath 901 is still in position to prevent full closure. In Figure 9e, the operative catheter or sheath 901 has also been withdrawn relative to the device 904 and pushing sheath 908, allowing the device 904 to assume the closed state, bringing the edges 905 of the tissue opening into apposition. The tissue can be inspected at this stage to assess proper placement and operation of the device. If the opening has been appropriately closed, then the sheaths and guidewire 909 can be removed, leaving the device 904 in place to hold the opening closed, as shown in Figure 9f.

[0033] The particular sizes and equipment discussed above are cited merely to illustrate particular embodiments of the invention. It is contemplated that the use of the invention may involve components having different sizes and characteristics. It is intended that the scope of the invention be defined by the claims appended hereto.

**Claims**

We claim:

- 1) A tissue closure device, comprising:
  - a) A base member, defining an opening of sufficient cross-section to allow passage of a sheath therethrough;
  - b) A plurality of active members, wherein each member
    - i) Mounts with the base member near a first portion of the active member;
    - ii) Comprises a tissue engagement feature near a second portion of the active member, where the second portion is spaced apart from the first portion; and
    - iii) Is capable of first and second configurations, wherein when the active members are in the first configuration the active members are spaced apart sufficient to allow passage of a sheath between the active members, and wherein when the active members are in the second configuration the active members urge tissue placed between the second portions of the active members into apposition.
- 2) A tissue closure device as in Claim 1, wherein the active members changing from the first configuration to the second configuration comprises flexing of the active members.
- 3) A tissue closure device as in Claim 1, wherein the active members assume the second configuration absent an external force applied to the active members.
- 4) A tissue closure device as in Claim 1, wherein each active member comprises an elongated leg, that, when in the first configuration has the first and second portions lying along a line that does not intersect the axis of a sheath passed through the base member, and when in the second configuration has the first and second portions lying along a line that does intersect an axis of a sheath passed through the base element.
- 5) A tissue closure device as in Claim 1, wherein each active member comprises first and second legs, each having first and second ends, each mounted with the base member at a first end, and wherein each tissue engagement feature comprises an elongated element adapted to engage tissue placed in contact with it, and mounted with the second ends of the first and second legs.
- 6) A tissue closure device as in Claim 5, wherein each elongated element comprises one or more grooves in a surface of the element oriented toward the axis of a sheath passed through the base element.
- 7) A tissue closure device as in Claim 5, wherein an elongated element comprises projections therefrom adapted to pierce tissue.
- 8) A tissue closure device as in Claim 7, wherein an elongated element comprises recesses therein adapted to accommodate projections on another elongated element.
- 9) A tissue closure device as in Claim 1, wherein the tissue engagement features comprise a second portion of the active member sufficiently sharp to penetrate tissue.
- 10) A tissue closure apparatus comprising:
  - a) A sheath, having walls defining an inner cross-section and an outer cross-section;

- b) A base member, defining an opening of sufficient cross-section to allow passage of the sheath therethrough, mounted with the sheath such that the base member can slide past an end of the sheath;
  - c) A plurality of active members, wherein each member
    - i) Mounts with the base member near a first portion of the active member;
    - ii) Comprises a tissue engagement feature near a second portion of the active member, where the second portion is spaced apart from the first portion; and
    - iii) Is capable of first and second configurations, wherein when the active members are in the first configuration the active members are spaced apart sufficient to allow passage of a sheath between the active members, and wherein when the active members are in the second configuration the active members urge tissue placed between the second portions of the active members into apposition.
- 11) A tissue closure apparatus comprising a tissue capture element, mounted with the sheath such that the tissue capture element can capture tissue proximal to the sheath, allowing motion of the sheath to evert the tissue.
  - 12) A device to close an opening in a blood vessel comprising a plurality of leg-like members mounted together, that in an open state can reside on a delivery sheath, and after being pushed from the delivery sheath assume a closed state, the leg-like members having tissue engagement features, wherein in the closed state the tissue engagement features engage an everted vessel wall and urge the edges of the opening into apposition.
  - 13) A device as in Claim 12, wherein the leg-like members mount to a whole or partial ring.
  - 14) A device as in Claim 12, wherein the leg-like members comprise a material with memory characteristics.
  - 15) A device as in Claim 13 further comprising a retrieval fitting mounted with the ring.
  - 16) A device as in Claim 12, further comprising a fabric or membrane mounted with the leglike members.
  - 17) A device as in Claim 12, wherein the leg-like members elute therapeutic material or drug.
  - 18) A device as in Claim 12, wherein the leg-like members comprise angled dentates or tissue penetrators to discourage movement or migration of the device into the lumen of the blood vessel.
  - 19) A method of closing an opening in tissue, comprising:
    - a) Everting the edges of the opening;
    - b) Placing an apparatus as in Claim 10 near the everted edges;
    - c) Pushing the base member, and active members mounted therewith, past the end of the sheath such that the active members engage the tissue surrounding the opening and assume the second configuration.
  - 20) A method as in Claim 19, wherein everting the edges of the opening comprises passing gripper tines through a second sheath to the opening, expanding the gripper tines to engage the edges of the opening, and applying traction to the gripper tines to pull the edges of the tissue.
  - 21) A method as in Claim 19, wherein everting the edges of the opening comprises partially withdrawing an operative catheter or a second sheath within the opening.

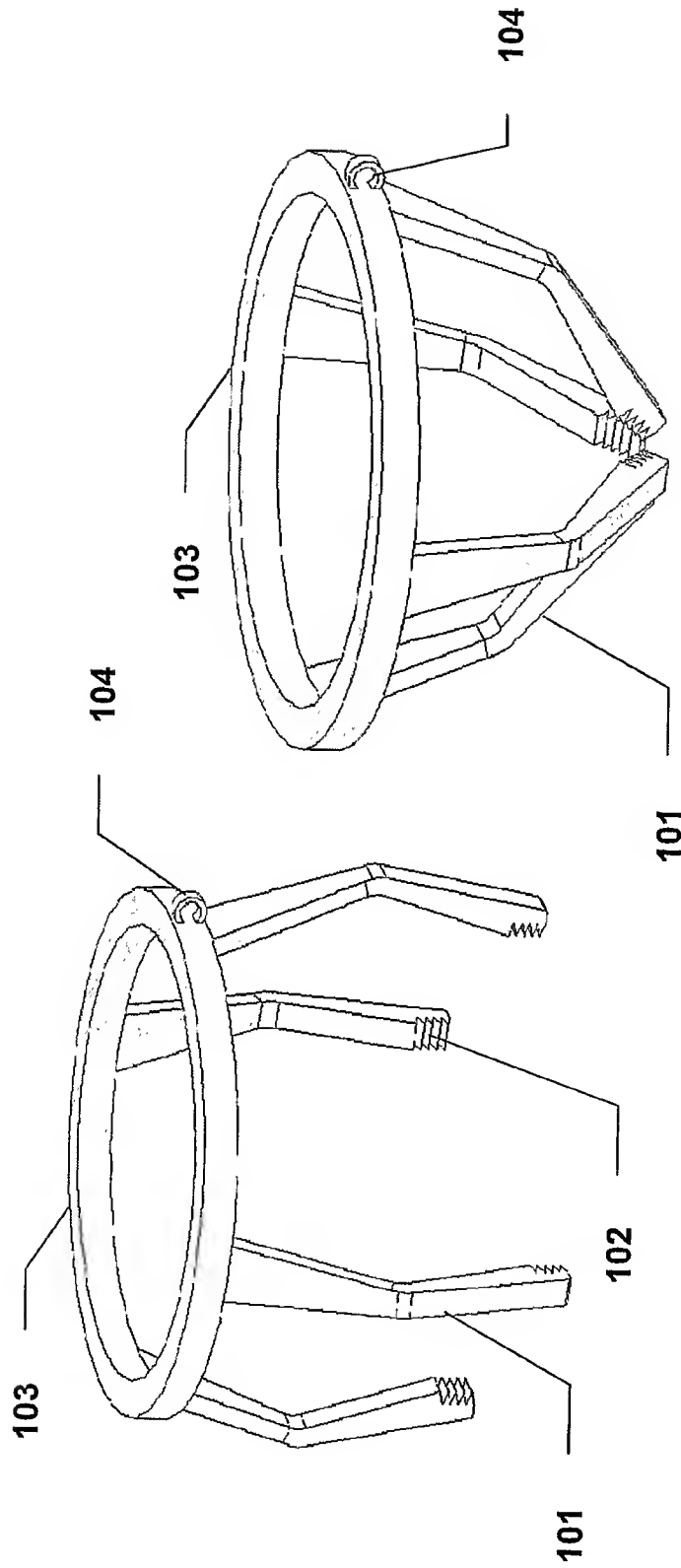


Figure 1b

Figure 1a

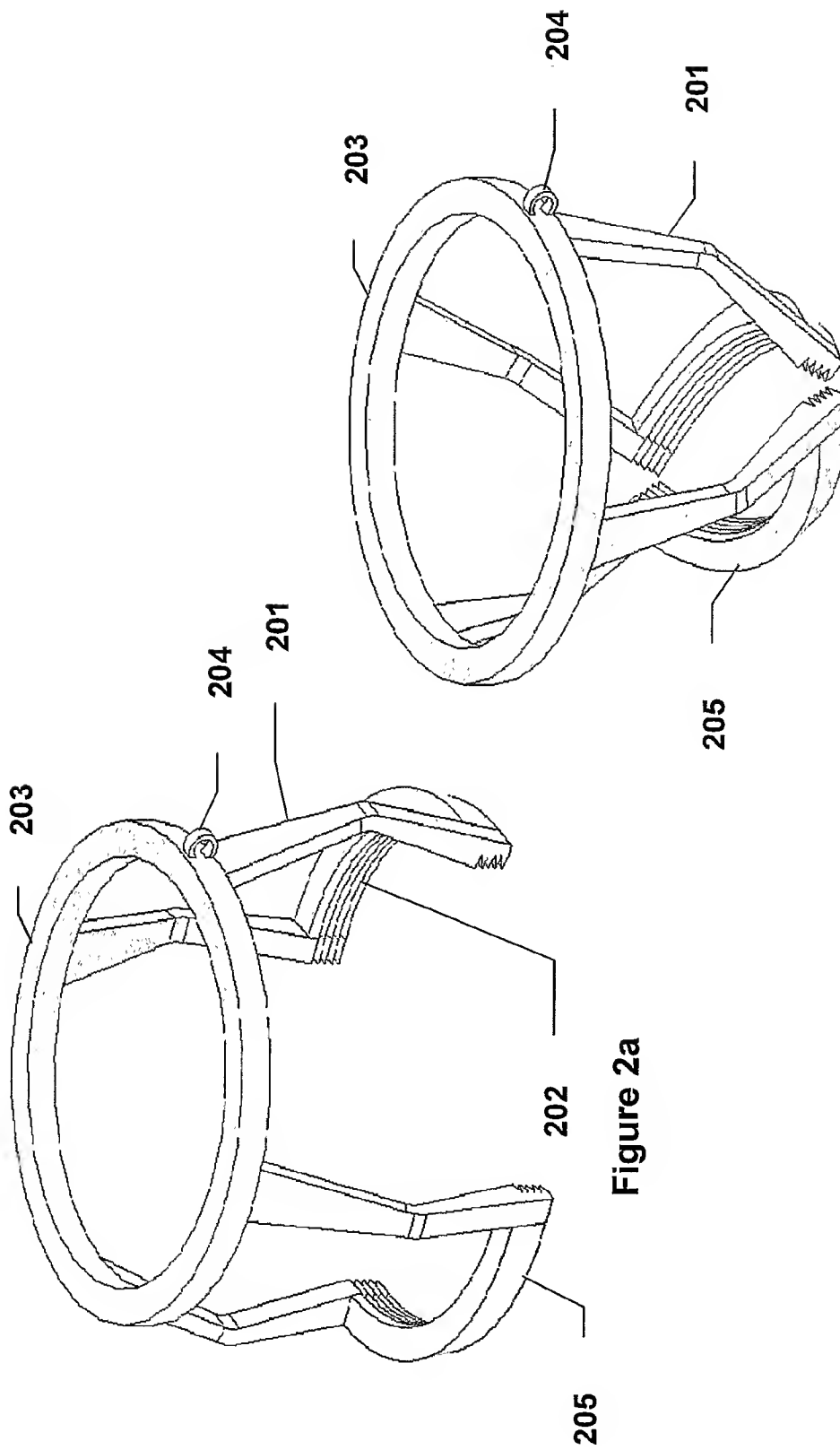
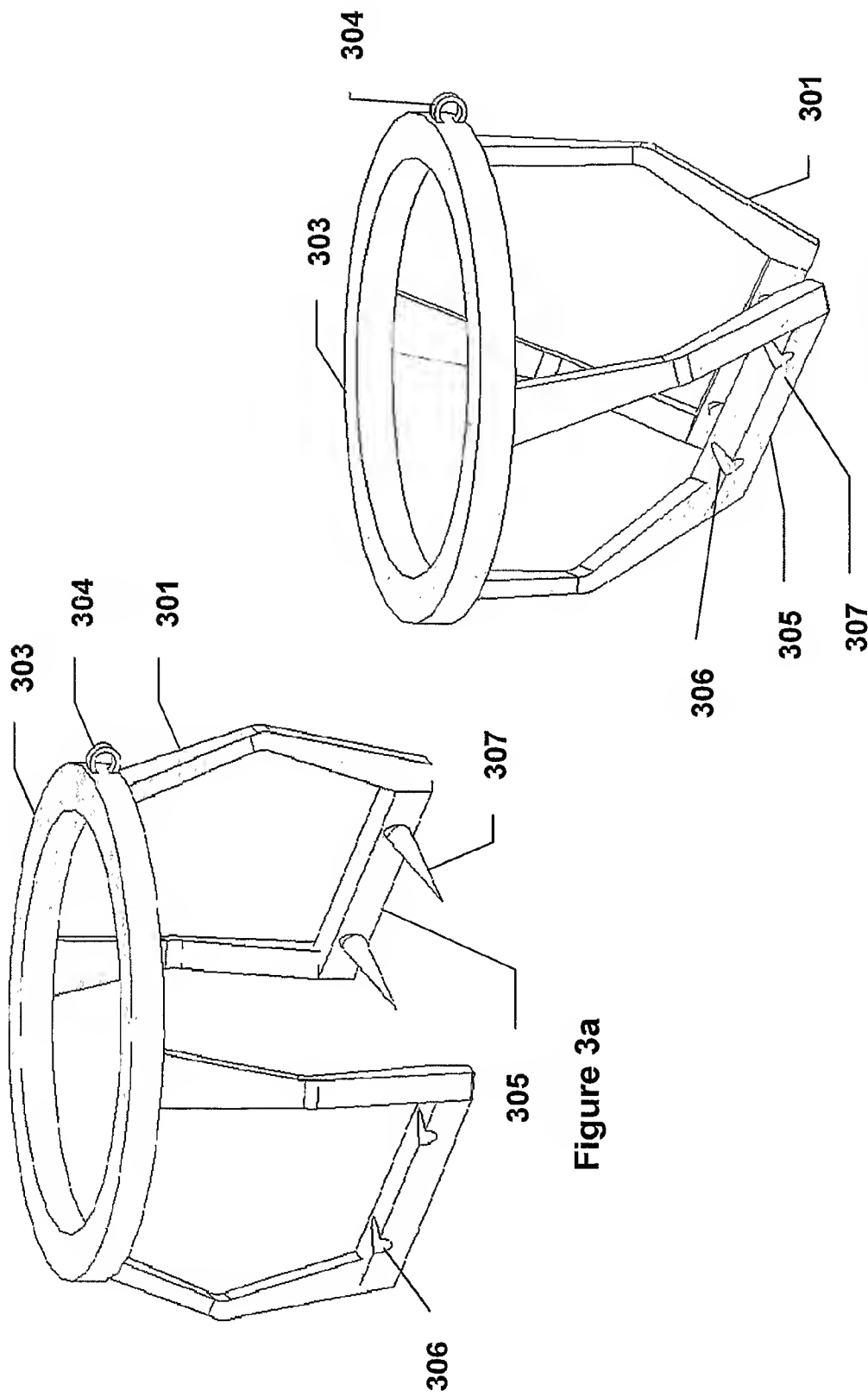


Figure 2a

Figure 2b



### Figure 3a

**Figure 3b**

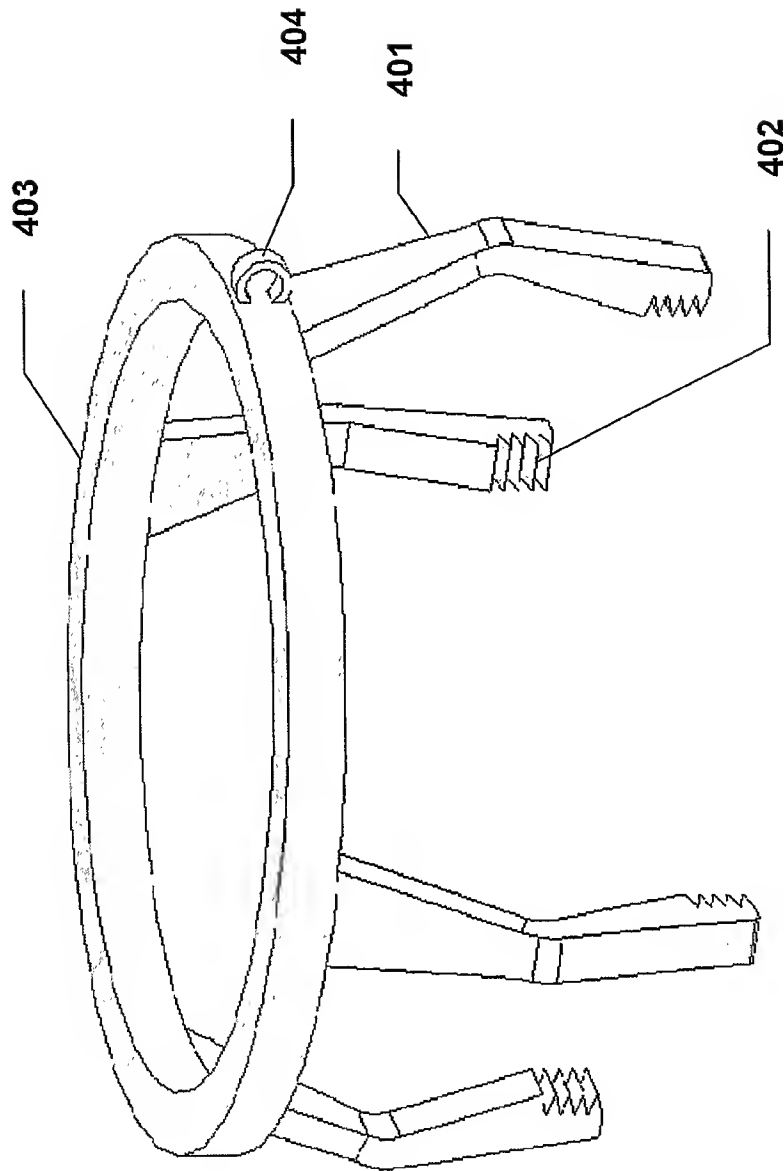


Figure 4a



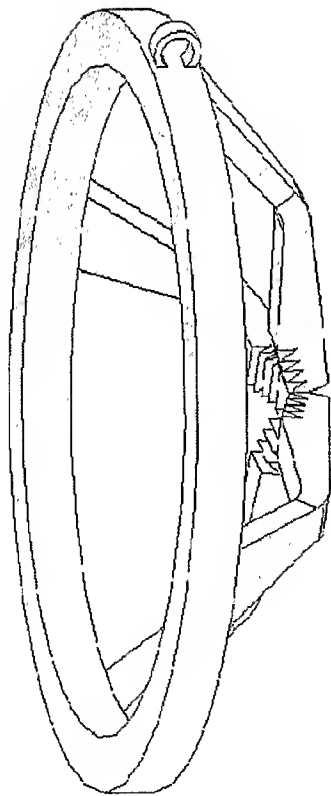


Figure 4b

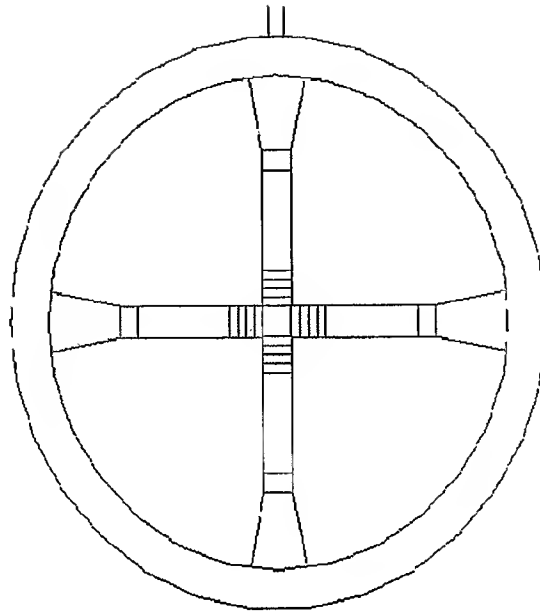


Figure 4c

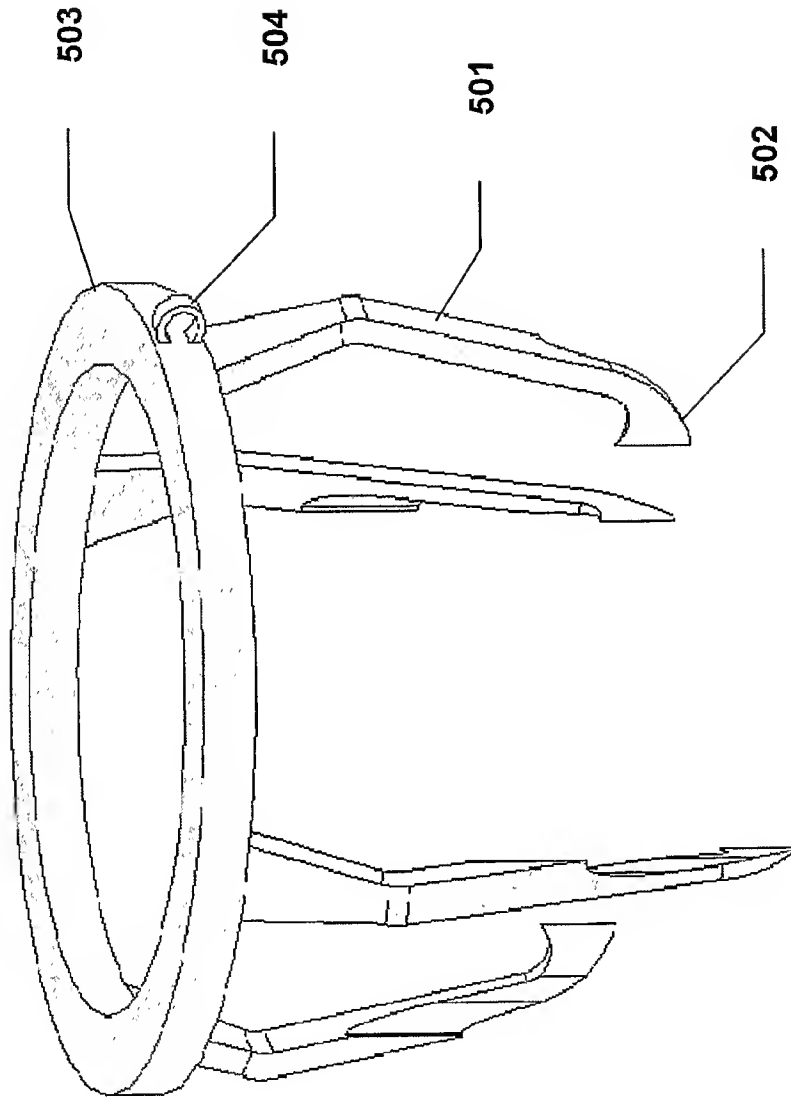


Figure 5a

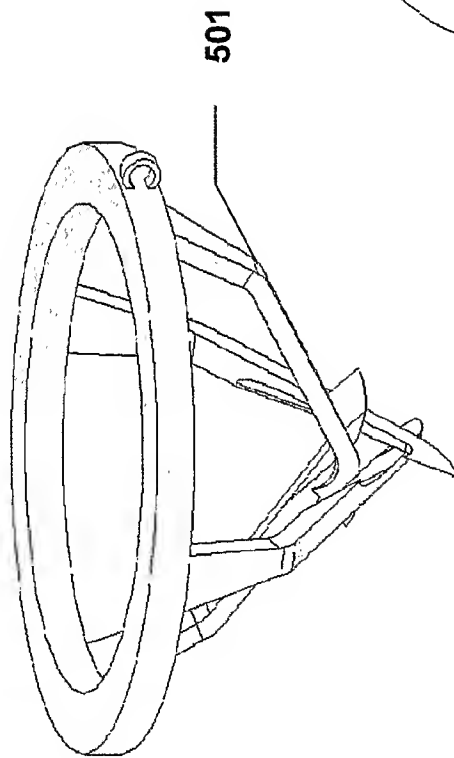


Figure 5b

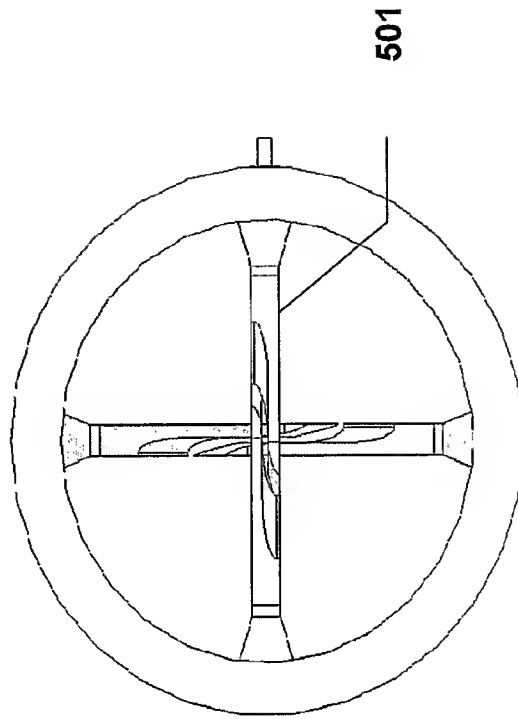


Figure 5c

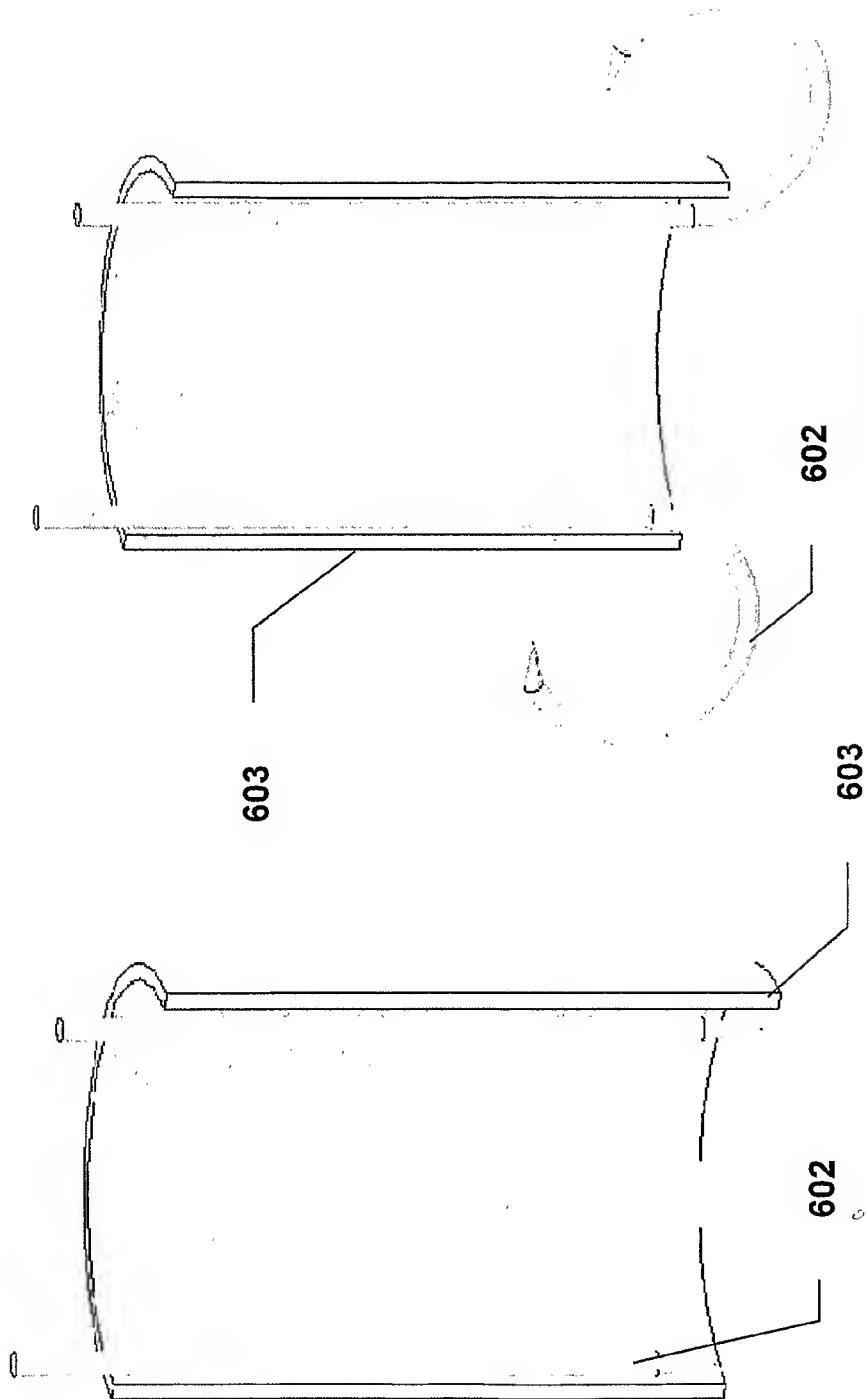


Figure 6b

Figure 6a

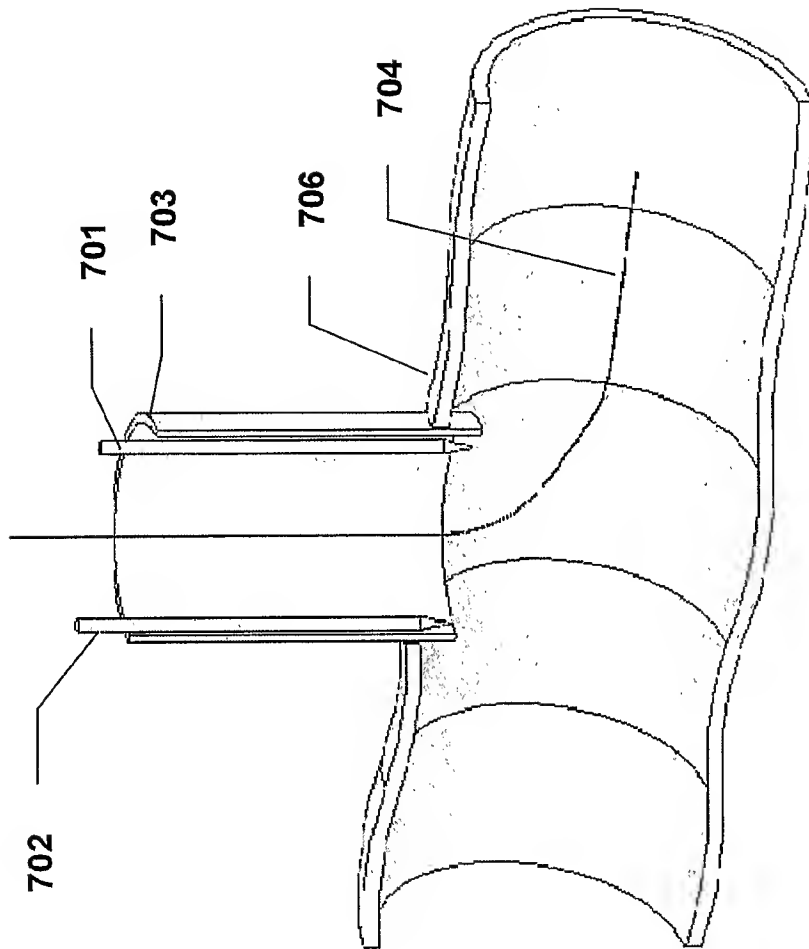


Figure 7a

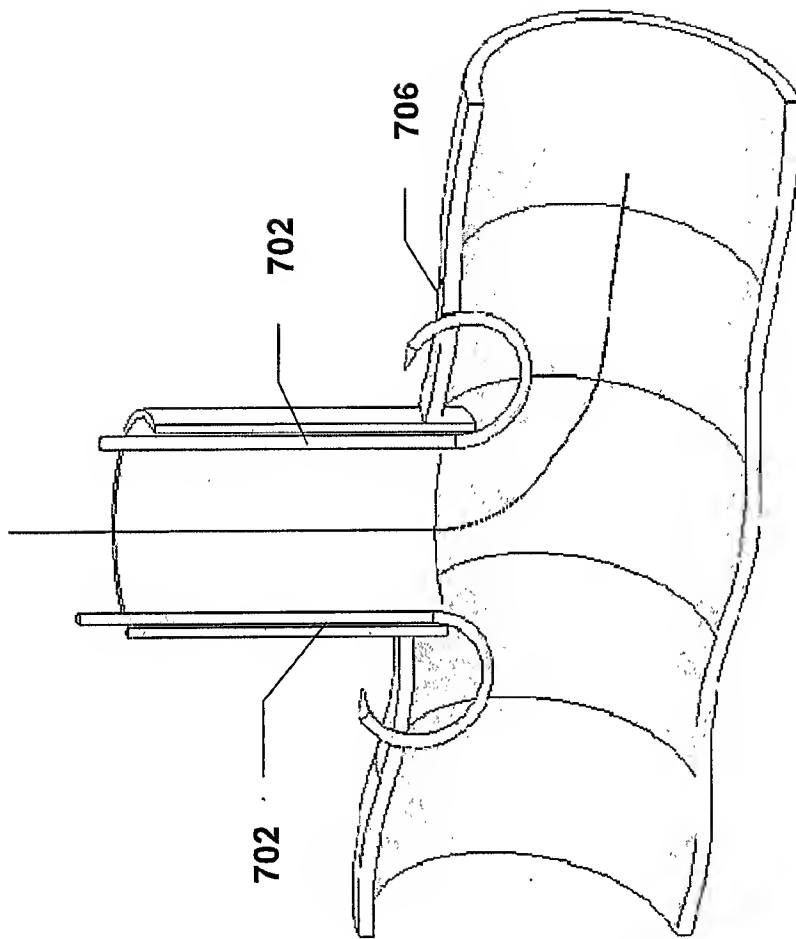


Figure 7b

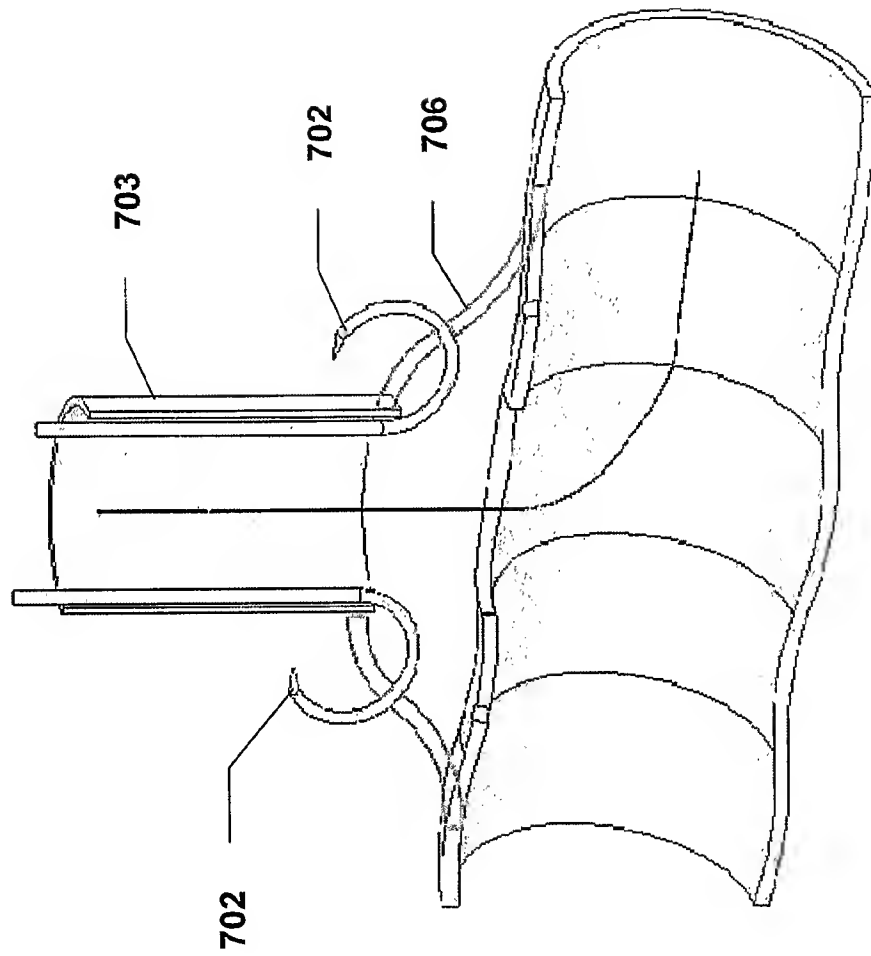


Figure 7c

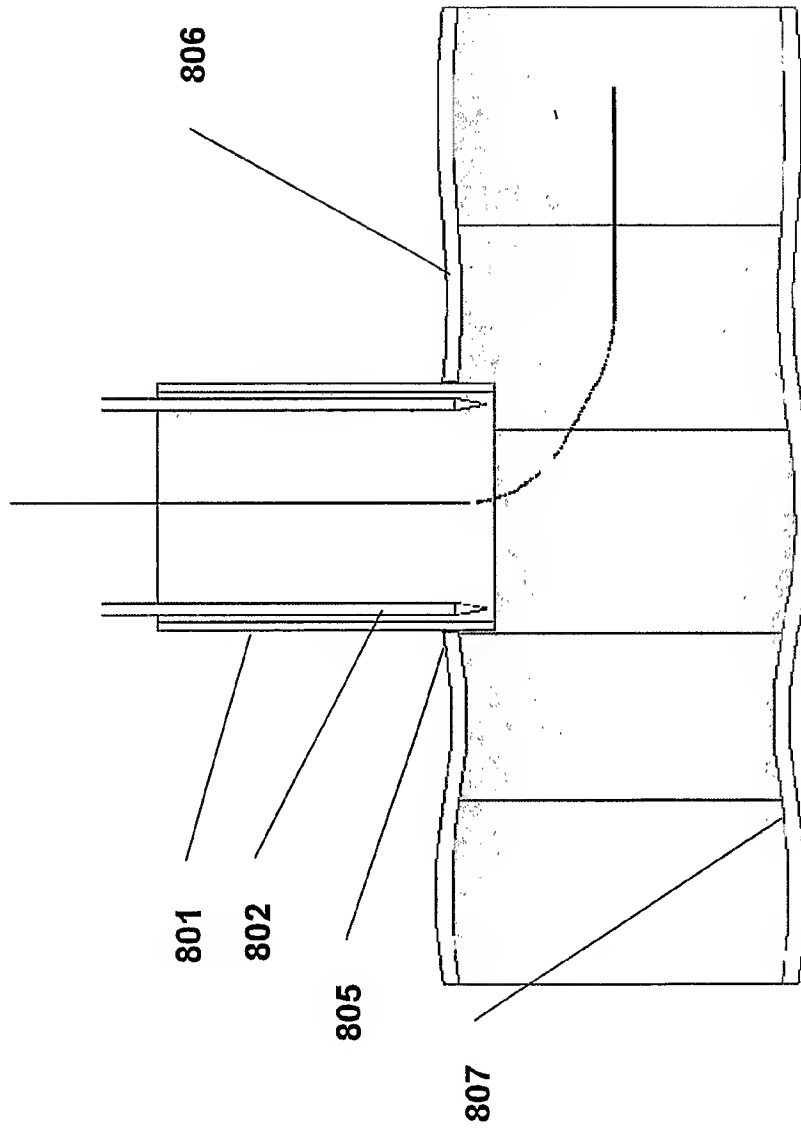


Figure 8a



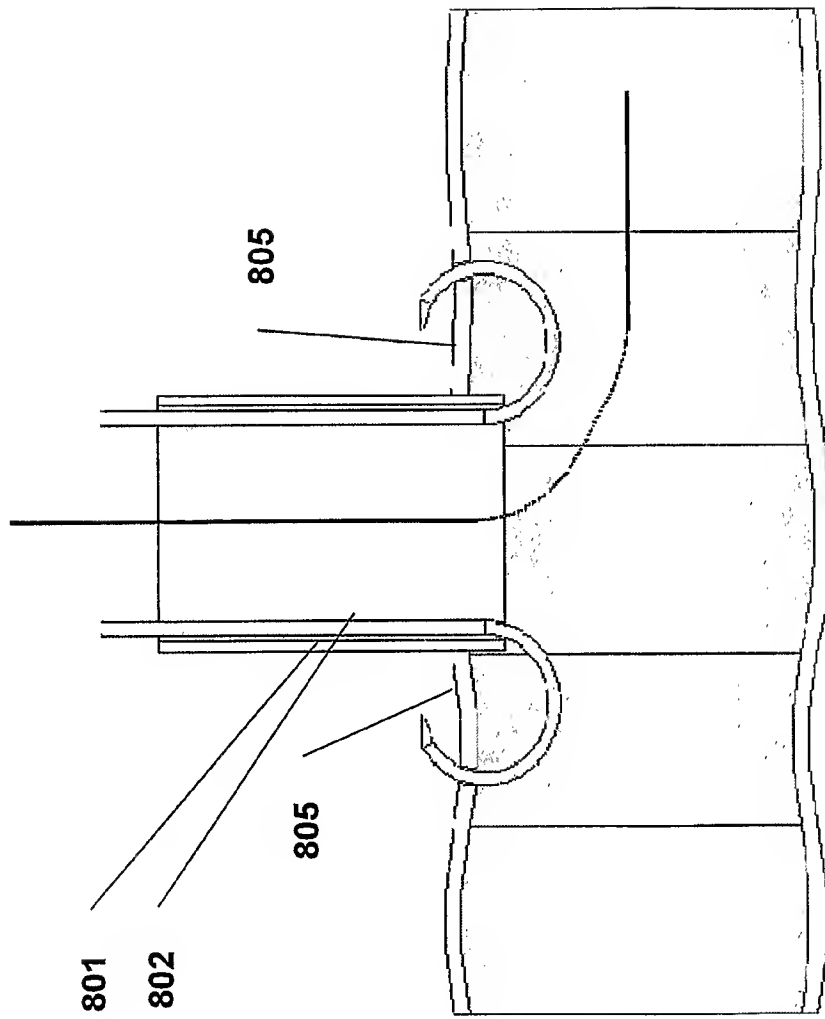


Figure 8b

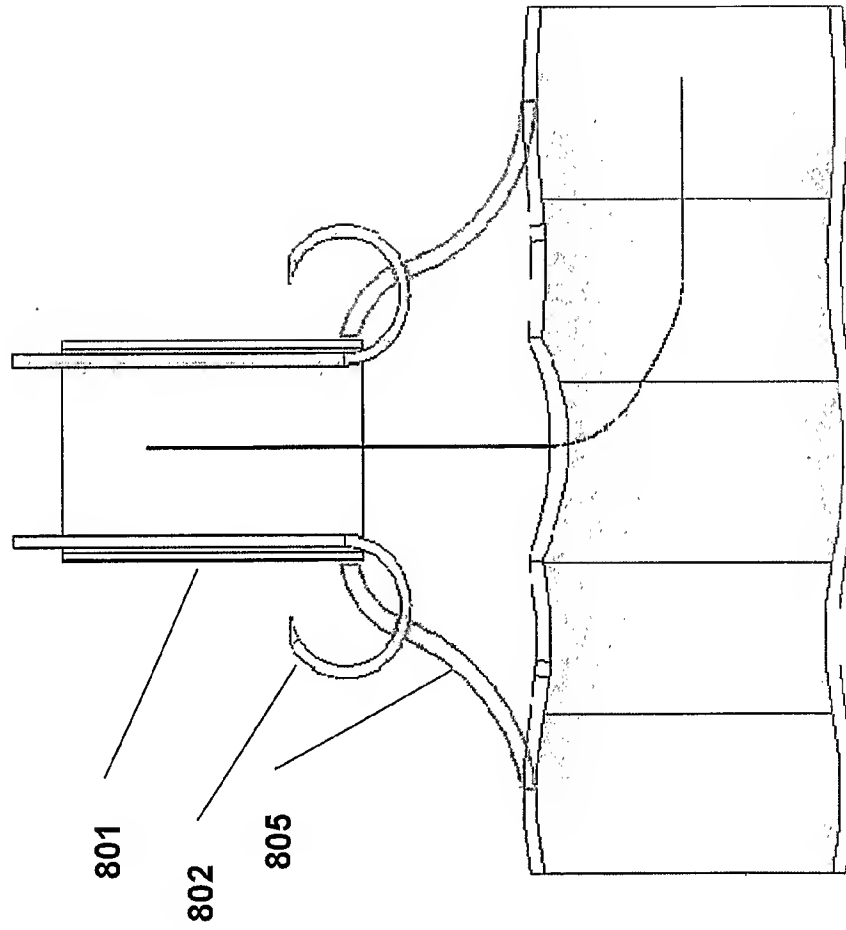


Figure 8c

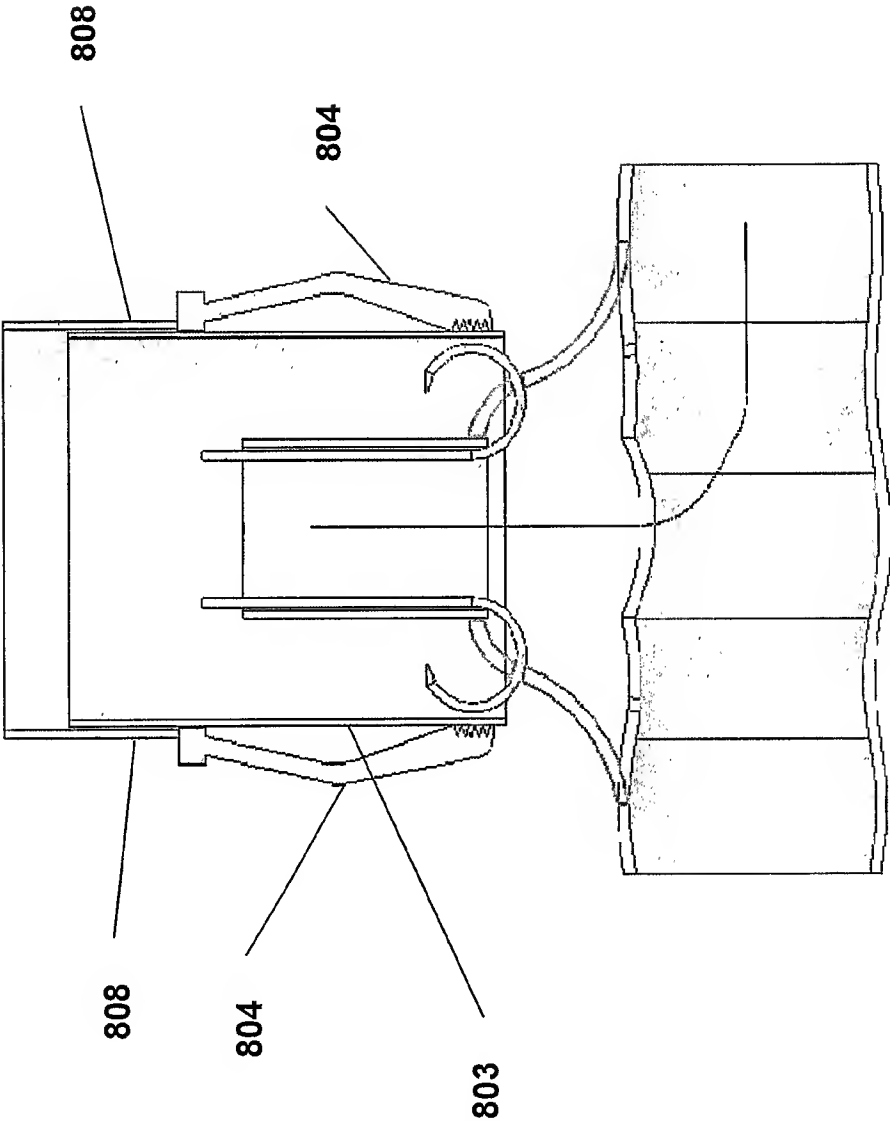


Figure 8d

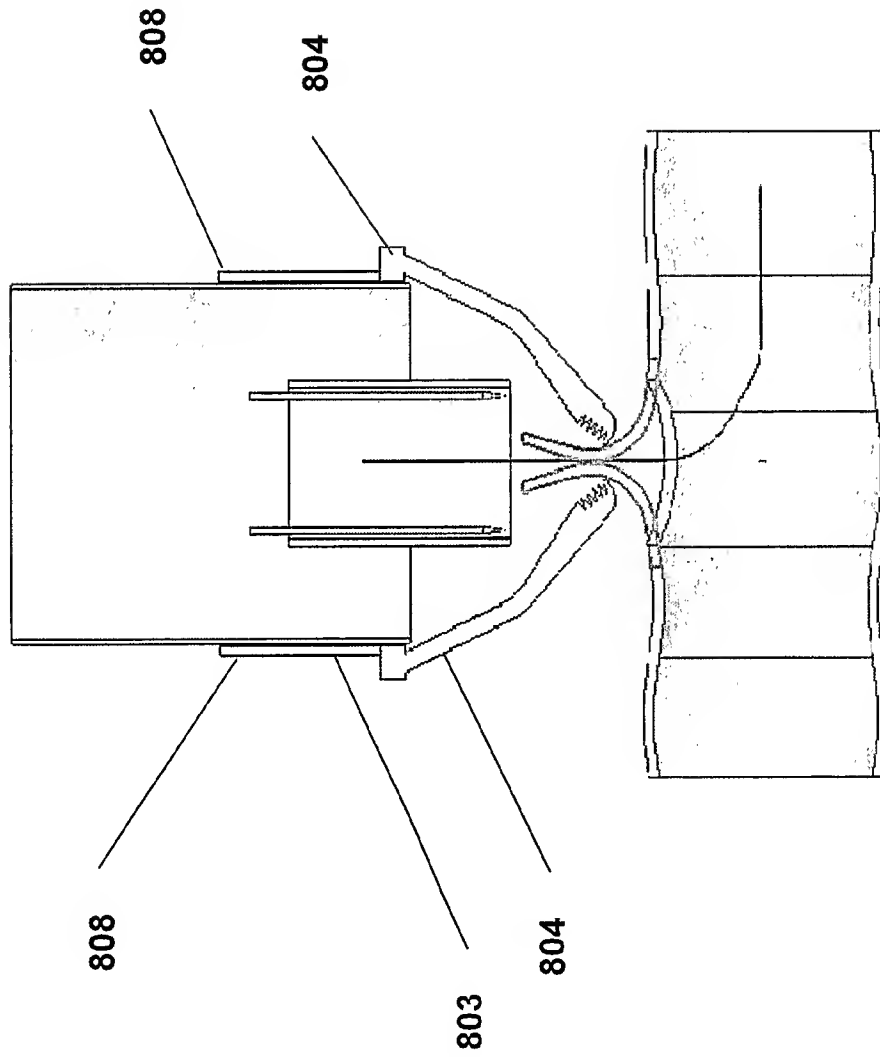


Figure 8e

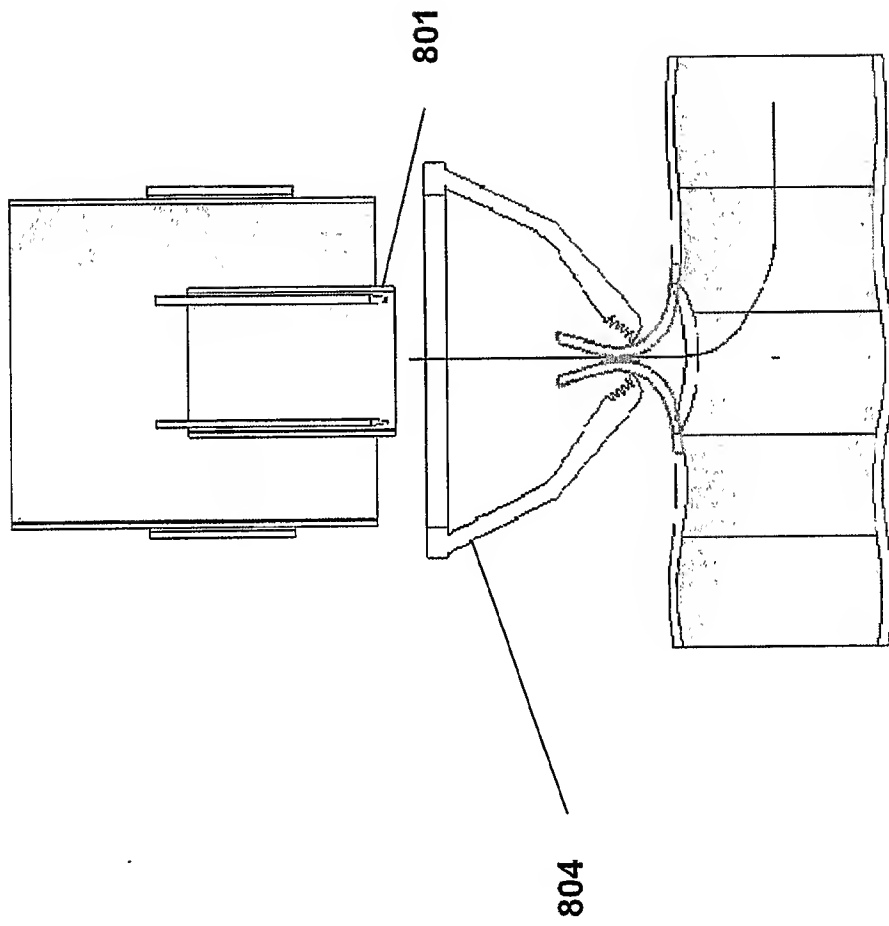


Figure 8f

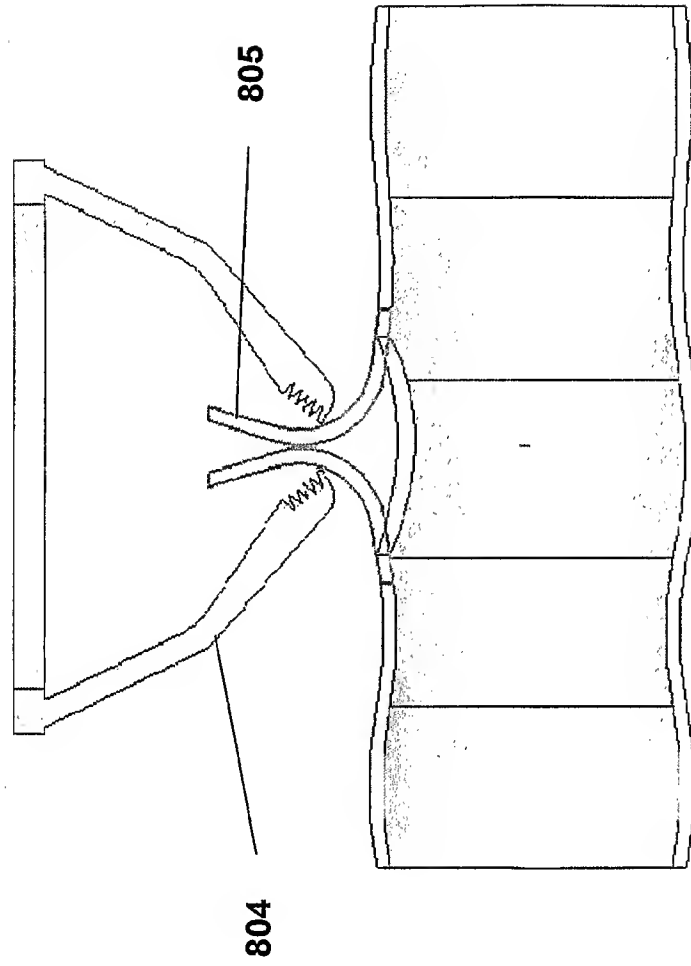


Figure 8g

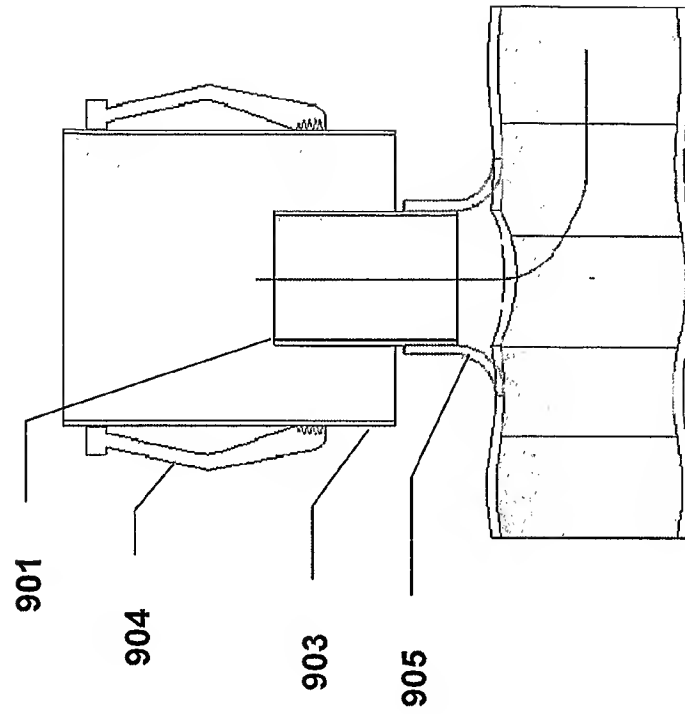


Figure 9b

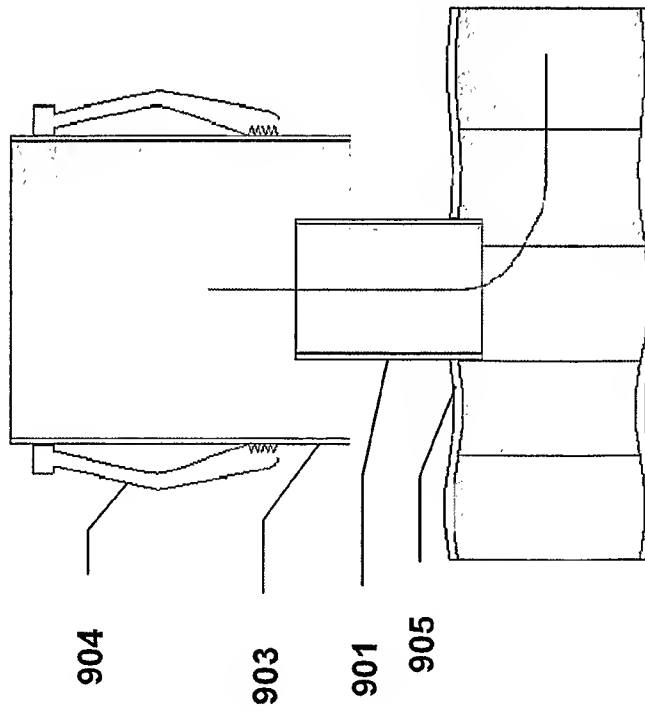


Figure 9a

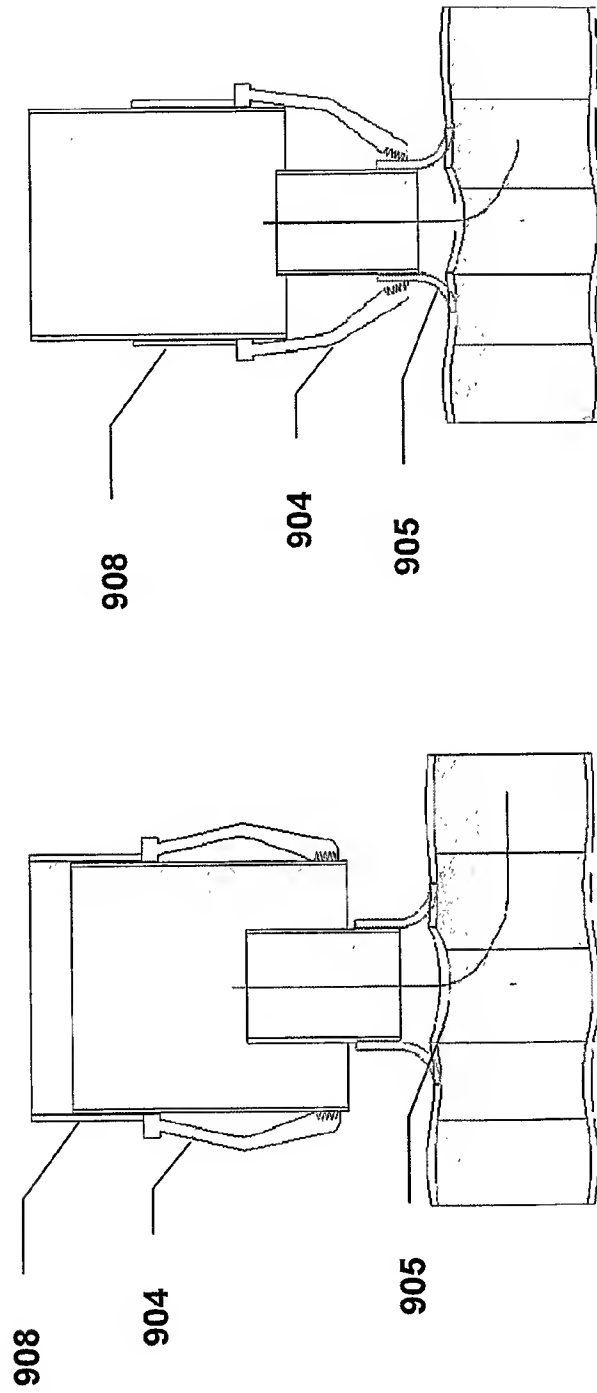


Figure 9d

Figure 9c



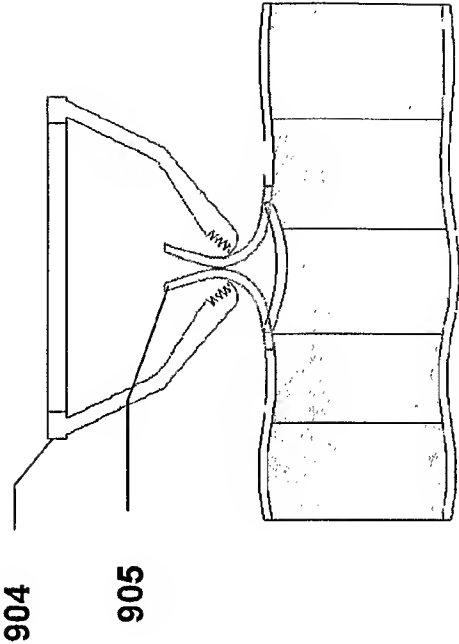


Figure 9f

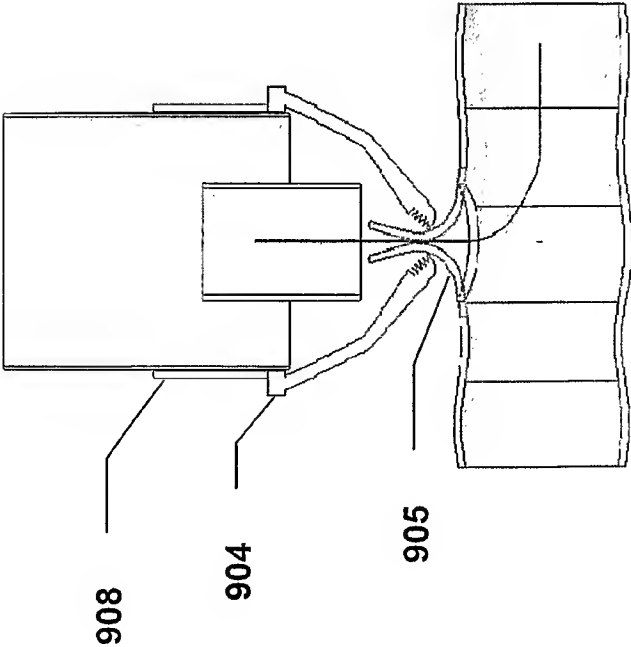


Figure 9e

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US06/33025

## A. CLASSIFICATION OF SUBJECT MATTER

IPC: A61B 17/08( 2006.01),17/10( 2006.01),17/11( 2006.01)

USPC: 606/139,142,151,153-158,213-219

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 606/139,142,151,153-158,213-219

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 6,197,042 B1 (GINN et al) 6 March 2001 (6.03.2001), entire document.	1-4,9-14,18,19 ----- 15-17,20,21
Y	US 6,395,015 B1 (BORST et al) 28 May 2002 (28.05.2002), see abstract, figs. 18, 19 and column 13 line 29-46.	15
Y	US 6,623,510 B2 (CARLEY et al) 23 September 2003 (23.11.2003), column 4 lines 3-6.	16, 17
X --- Y	US 2,371,978 A (PERHAM) 20 March 1945 (20.03.1945), fig. 11 and page 3 column 1 line 34 through column 2 line 5.	1,5 ----- 6-8
Y	US 5,425,740 A (HUTCHINSON, JR.) 20 June 1995 (20.06.1995), figures 2 and 5, column 2 lines 51-54.	7,8

☒ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

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"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	"&" document member of the same patent family

Date of the actual completion of the international search

27 February 2008 (27.02.2008)

Date of mailing of the international search report

24 MAR 2008

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**INTERNATIONAL SEARCH REPORT**

International application No.  
PCT/US06/33025

**C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,919,207 A (TAHERI) 6 July 1999 (06.07.1999), figs. 2-5 and column 2 lines 54-63.	20,21
Y	US 6,165,204 A (LEVINSON et al) 26 December 2000 (26.12.2000), column 5 lines 1-10 and figures 4a-4c.	6